



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brock W. Callen et al.

Art Unit : Unknown

Examiner: Unknown

Serial No.:

Filed

Title

i

: October 30, 2001

: PROVIDING TERMINATION BENEFITS FOR EMPLOYEES

Commissioner for Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

Preliminarily, please amend the application as follows:

Page 7, after line 8, insert:

-- Figures 8A through 8U are pages of an insurance contract.--

Page 14, line 22:

-- The pricing can be done using a model created as a Microsoft Excel spreadsheet. An example of such a model that uses the inputs discussed above to generate a premium for the product was filed with the original patent application in the form of a CD-ROM and is available in the file of the United State Patent and Trademark Office. The file name is TEMPLATE.XLS and it can be run on Microsoft Excel 97, a copy of which is also being provided. Other kinds of software could be used to compute the insurance prices. The software could be run on any conventional personal computer or on any variety of other computer platforms. The software and all of the data needed for the pricing computations could be stored on a hard disk drive or other media .--

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. <u>EL485675395US</u>

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate